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36-40
Docket No.: C37584/130070

Receipt
#4

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Application of:)
J. SHAFFER, et al.)
Serial No.: 10/043,056) Examiner: To be Assigned
Filed: January 8, 2002) Group Art Unit: 1724

For: APPARATUS AND METHOD FOR BIOLOGICAL TREATMENT
OF ENVIRONMENTAL CONTAMINANTS AND WASTE

April 24, 2002

REQUEST FOR CORRECTED FILING RECEIPT

BOX MISSING PARTS
Assistant Commissioner for Patents
Washington, D.C. 20231

Sir:

Please issue and send us a corrected filing receipt for the above-captioned case. A copy of the filing receipt received for this case is enclosed. Please change the information in the Applicant Section to conform with the Declaration and Power of Attorney (copy enclosed) as follows:

--Jon Shaffer, Huntington, NY;
Jack Fernandes, Setauket, NY;
John Lucido, Rocky Point, NY--

Please make the above-identified corrections and send us a corrected filing receipt.

If there are any fees required, the Commissioner is authorized to charge such fees
to Deposit Account No. 02-4467.

Respectfully submitted,

By: Warren K. MacRae
Warren K. MacRae
Reg. No. 37,876
BRYAN CAVE LLP
245 Park Avenue
New York, New York 10167-0034
(212) 692-1800

I hereby certify that this correspondence is being deposited with the United States Postal Service as first class mail with sufficient postage in an envelope addressed to: BOX MISSING PARTS, Assistant Commissioner for Patents, Washington, D.C. 20231, on April 24, 2002
(Date of Deposit)
Warren K. MacRae
Name of applicant, assignee, or Registered Representative
<u>Warren K. MacRae</u>
Signature
4/24/02
Date of Signature



MAY 02 2002

UNITED STATES PATENT AND TRADEMARK OFFICE

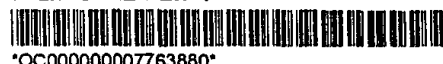
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C37584 11081760

APPLICATION NUMBER	FILING DATE	GRP ART UNIT	FIL FEE REC'D	ATTY. DOCKET NO	DRAWINGS	TOT CLAIMS	IND CLAIMS
10/043,056	01/08/2002	1724	601	108176/full	6	41	4

CONFIRMATION NO. 4998

FILING RECEIPT



OC000000007763880

BRYAN CAVE LLP
245 Park Avenue
New York, NY 10167

Date Mailed: 04/02/2002

Receipt is acknowledged of this nonprovisional Patent Application. It will be considered in its order and you will be notified as to the results of the examination. Be sure to provide the U.S. APPLICATION NUMBER, FILING DATE, NAME OF APPLICANT, and TITLE OF INVENTION when inquiring about this application. Fees transmitted by check or draft are subject to collection. Please verify the accuracy of the data presented on this receipt. If an error is noted on this Filing Receipt, please write to the Office of Initial Patent Examination's Customer Service Center. Please provide a copy of this Filing Receipt with the changes noted thereon. If you received a "Notice to File Missing Parts" for this application, please submit any corrections to this Filing Receipt with your reply to the Notice. When the USPTO processes the reply to the Notice, the USPTO will generate another Filing Receipt incorporating the requested corrections (if appropriate).

Applicant(s)

Jon Shaffer, Residence Not Provided;
Jack Fernandes, Residence Not Provided;
John Lucido, Rocky Point, NY;

4/8/02
Ay

Domestic Priority data as claimed by applicant

THIS APPLN CLAIMS BENEFIT OF 60/260,586 01/09/2001

Foreign Applications

Projected Publication Date: To Be Determined - pending completion of Missing Parts

Non-Publication Request: No

Early Publication Request: No

** SMALL ENTITY **

Title

Apparatus and method for biological treatment of environmental contaminants and waste

Preliminary Class

210

**LICENSE FOR FOREIGN FILING UNDER
Title 35, United States Cod , Section 184
Title 37, Cod of Federal Regulations, 5.11 & 5.15**

GRANTED

The applicant has been granted a license under 35 U.S.C. 184, if the phrase "IF REQUIRED, FOREIGN FILING LICENSE GRANTED" followed by a date appears on this form. Such licenses are issued in all applications where the conditions for issuance of a license have been met, regardless of whether or not a license may be required as set forth in 37 CFR 5.15. The scope and limitations of this license are set forth in 37 CFR 5.15(a) unless an earlier license has been issued under 37 CFR 5.15(b). The license is subject to revocation upon written notification. The date indicated is the effective date of the license, unless an earlier license of similar scope has been granted under 37 CFR 5.13 or 5.14.

This license is to be retained by the licensee and may be used at any time on or after the effective date thereof unless it is revoked. This license is automatically transferred to any related applications(s) filed under 37 CFR 1.53(d). This license is not retroactive.

The grant of a license does not in any way lessen the responsibility of a licensee for the security of the subject matter as imposed by any Government contract or the provisions of existing laws relating to espionage and the national security or the export of technical data. Licensees should apprise themselves of current regulations especially with respect to certain countries, of other agencies, particularly the Office of Defense Trade Controls, Department of State (with respect to Arms, Munitions and Implements of War (22 CFR 121-128)); the Office of Export Administration, Department of Commerce (15 CFR 370.10 (j)); the Office of Foreign Assets Control, Department of Treasury (31 CFR Parts 500+) and the Department of Energy.

NOT GRANTED

No license under 35 U.S.C. 184 has been granted at this time, if the phrase "IF REQUIRED, FOREIGN FILING LICENSE GRANTED" DOES NOT appear on this form. Applicant may still petition for a license under 37 CFR 5.12, if a license is desired before the expiration of 6 months from the filing date of the application. If 6 months has lapsed from the filing date of this application and the licensee has not received any indication of a secrecy order under 35 U.S.C. 181, the licensee may foreign file the application pursuant to 37 CFR 5.15(b).



UNITED STATES PATENT AND TRADEMARK OFFICE

 COMMISSIONER FOR PATENTS
 UNITED STATES PATENT AND TRADEMARK OFFICE
 WASHINGTON, D.C. 20231
 www.uspto.gov

APPLICATION NUMBER	FILING/RECEIPT DATE	FIRST NAMED APPLICANT	ATTORNEY DOCKET NUMBER
10/043,056	01/08/2002	Jon Shaffer	108176/full

CONFIRMATION NO. 4998

FORMALITIES LETTER



OC000000007763881

 BRYAN CAVE LLP
 245 Park Avenue
 New York, NY 10167

Date Mailed: 04/02/2002

NOTICE TO FILE MISSING PARTS OF NONPROVISIONAL APPLICATION

FILED UNDER 37 CFR 1.53(b)

Filing Date Granted

An application number and filing date have been accorded to this application. The item(s) indicated below, however, are missing. Applicant is given **TWO MONTHS** from the date of this Notice within which to file all required items and pay any fees required below to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a).

- The oath or declaration is unsigned.
- To avoid abandonment, a late filing fee or oath or declaration surcharge as set forth in 37 CFR 1.16(l) of \$65 for a small entity in compliance with 37 CFR 1.27, must be submitted with the missing items identified in this letter.
- The balance due by applicant is \$ 65.

*A copy of this notice **MUST** be returned with the reply.*

Customer Service Center

Initial Patent Examination Division (703) 308-1202

PART 2 - COPY TO BE RETURNED WITH RESPONSE

05/03/2002 SDENB081 00000052 10043056

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65.00 DP



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ORIGINALLY FILED

#3

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For: APPARATUS AND METHOD FOR BIOLOGICAL TREATMENT
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April 24, 2002

**RESPONSE TO NOTICE TO FILE
MISSING PARTS OF APPLICATION**

BOX MISSING PARTS
Assistant Commissioner for Patents
Washington, D.C. 20231

Sir:

In response to the April 2, 2002 "Notice to File Missing Parts of Nonprovisional
Application - Filing Date Granted" in the above identified application, applicants submit
herewith the following:

- (1) a properly signed Declaration and Power of Attorney in compliance with
37 CFR 1.63; and
- (2) a check in the amount of \$65.00 as the requisite small entity fee surcharge.

Accordingly, this response is filed timely upon mailing with an executed
Certificate of Mailing on or before June 2, 2002. 35 U.S.C. §21(b); 37 CFR 1.8 and 1.17.

If our check is missing or otherwise insufficient, or if any additional fees are required, the Commissioner is authorized to charge (or credit any overpayment) to Deposit Account No. 02-4467.

Respectfully submitted,

By: Warren K. MacRae
Warren K. MacRae
Reg. No. 37,876
BRYAN CAVE LLP
245 Park Avenue
New York, New York 10167-0034
(212) 692-1800

I hereby certify that this correspondence is being deposited with the United States Postal Service as first class mail with sufficient postage in an envelope addressed to: BOX MISSING PARTS, Assistant Commissioner for Patents, Washington, D.C. 20231, on April 24, 2002
(Date of Deposit)
Warren K. MacRae
Name of applicant, assignee, or Registered Representative
<u>Warren K. MacRae</u>
Signature
4/24/02
Date of Signature